

San Diego Speculative Fiction Society, Inc. **EIN 33-0940786**  
PO Box 927388 **CA Corp #2280002**  
San Diego, CA 92192-7388

Cover Letter to Form 3500  
*California Exemption Application*

12 March 2004

EXEMPT ORGANIZATIONS UNIT  
FRANCHISE TAX BOARD  
PO BOX 942857  
SACRAMENTO CA 94257-4041

**REQUEST FOR RETROACTIVE EXEMPT STATUS**

Dear Tax Board examiner:

Please find enclosed an exemption application from San Diego Speculative Fiction Society, Inc.

We request that this application be approved retroactive to the date of our incorporation: 12/27/2000.

Since formation, we have operated exclusively for the exempt educational purposes described in this application, in our Articles of Incorporation, and in our Bylaws.

Please contact me if you have need of any additional information regarding our organization.

Sincerely,

Adam Tilghman  
Corporate Treasurer  
San Diego Speculative Fiction Society, Inc.

# Exemption Application

Name of organization as shown in your organization's articles or declaration of trust <b>San Diego Speculative Fiction Society, Inc. (DBA SanSFis, Inc.)</b>		Federal employer identification number (FEIN) <b>330940786</b>	
Address (number and street) <b>10805 Canyon Hill Ln</b>		PMB no.	Daytime telephone number <b>619 886 3744</b>
City, Town, or Post Office <b>San Diego</b>		State <b>CA</b>	ZIP Code <b>92126</b>
Name of representative to be contacted regarding additional requirements or information <b>Adam Tilghman</b>		Daytime telephone number <b>619 886 3744</b>	
Representative's mailing address (number and street) <b>PO Box 927388</b>			PMB no.
City, Town, or Post Office <b>San Diego</b>		State <b>CA</b>	ZIP Code <b>92192 7388</b>

**ALL applicants must complete item 1 through item 7i. Also furnish the information requested in item 8 through item 25, as applicable.**

- 1 a** Enter the California Revenue and Taxation Code (R&TC) Section under which exemption is claimed 23701(d). See General Information C.
- b** Primary activity of organization: Education and promotion of speculative fiction arts and literature
- 2 a** What is the form of the organization?  Incorporated  Unincorporated association  Trust  
Date organized 12/27/2000
- b** If incorporated, furnish the following information:  
(1) Date incorporated or qualified in California 12/27/2000 (2) California corporation number C2280002  
If incorporated in another state, identify the state \_\_\_\_\_
- 3 a** Has this organization or its predecessor(s) previously applied for exemption?  Yes  No
- b** If "Yes," check the appropriate box(es) below and enter either "Granted" or "Denied." Also enter the date the exemption was "Granted" or "Denied" after the box(es) checked:  
 California \_\_\_\_\_ Date \_\_\_\_\_  Federal \_\_\_\_\_ Date \_\_\_\_\_  Other State \_\_\_\_\_ Date \_\_\_\_\_
- c** Enter the R&TC Section number under which the organization previously filed with the Franchise Tax Board (FTB) \_\_\_\_\_  
**Furnish copies of any determination letters received.**
- 4 a** Has the organization filed federal income tax returns?  Yes  No
- b** If "Yes," state type of returns and years filed. \_\_\_\_\_
- 5** Annual accounting period (must end on last day of the month). Oct. 1st - Sept. 30th

Attach check or money order here.

- 6 a** Is this a new organization? If "No," attach a statement indicating the name of the predecessor(s), the period during which it was in existence, the reasons for its termination, and the R&TC Section number under which it previously filed with the FTB . . . . .
- b** Is this a membership organization? If "Yes," attach a statement that fully explains the qualifications for members, the different classes of membership, the number of members in each class, and the voting rights and privileges accorded each class . . . . .
- c** Has the organization made, or are there plans to make, any distribution of its property or surplus to officers or members? If "Yes," attach a detailed statement . . . . .
- d** Will any of the incorporators share any facilities with the organization? If "Yes," attach a detailed explanation . . . . .
- e** Will any property be rented, purchased, or transferred in any way from any of the incorporators? If "Yes," attach a detailed explanation . . . . .
- f** Will any promoter, incorporator, founder, or member be employed by the organization? If "Yes," furnish complete details, including duties, responsibilities, qualifications, and compensation . . . . .
- g** Will any member of the board of directors be compensated for services other than services performed as a board member, e.g., officer, and/or employee? If "Yes," furnish the name(s) of the director(s), and the amount(s) of compensation for each. Also list the names of the other directors, indicating their blood or marriage relationship, if any, to the compensated director(s) . . . . .
- h** Does the organization plan to conduct raffles or other gaming activities? If so, attach a statement describing how they will be conducted and how the organization will use the funds. . . . .

Yes	No
✓	
✓	
	✓
✓	
	✓
	✓
	✓
	✓

**Continue to Item 7.**

**Be sure to include the \$25 application fee.** Make the check or money order payable to the Franchise Tax Board. Do not send cash. Allow 90 calendar days for processing.

Under penalties of perjury, I declare that I have examined this application, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

\_\_\_\_\_  
DATE SIGNATURE OF OFFICER OR REPRESENTATIVE TITLE

**7 TO ENSURE THAT THE FTB WILL PROCESS YOUR EXEMPTION APPLICATION, ATTACH THE FOLLOWING INFORMATION TO YOUR APPLICATION.**

- a** A copy of the creating document. The type of document to be submitted depends upon the way in which the organization was created. If the organization is:
  - A California corporation, submit a copy of the endorsed articles of incorporation and all subsequent endorsed amendments. "Endorsed" means the articles bear the stamps of the California Secretary of State (SOS).
  - A foreign corporation that is qualified through the SOS, submit a copy of the endorsed articles of incorporation and all subsequent endorsed amendments from the state or country in which incorporated. "Endorsed" means the articles bear the stamps of the SOS.
  - An unincorporated association, submit either: a copy of the constitution, articles of association, bylaws, or other document that contains the language required as shown in the samples on page 8 of the instructions AND which is signed by the board of directors or other governing body.
  - A trust, submit a copy of the trust document and any subsequent modifications to it.
  - A California limited liability company, submit a copy of the endorsed articles of organization.
  - A limited liability company formed in another state and qualified in California, submit a copy of the endorsed Application for Registration (LLC-5), a copy of the certificate of good standing from the home state, and a copy of the articles of organization from the home state.
- b** A copy of the bylaws, proposed bylaws, operating agreement, or other code of regulations.
- c** Financial documents. The documents to be provided depend upon whether the organization has been operating or has not yet started to operate. If the organization has:
  - 1. Been operating, furnish complete statements of receipts and expenditures, assets and liabilities for each accounting period that it has been in existence and **for which exemption is requested**. See the Receipts and Expense Statement on Side 6 of this form. Do not send bank statements or monthly reports. However, bank statements or monthly reports should be retained as support for items on the income and expense statement.
  - 2. Been operating but has not had any financial activity, provide information substantiating operations during the years for which you are requesting exemption. Example, minutes from meetings of board of directors.
  - 3. Not yet started to operate, furnish a proposed budget showing the sources of income and areas of expenditures for the first year of operation. The budget is required before the FTB will process the application and should be based upon the most reasonable expectations. Refer to the Receipts and Expenses Statement on Side 6 of this form.
- d** A statement describing the specific purposes for which the organization was formed. A general nonprofit purpose statement will not be acceptable, and do not quote the articles of incorporation or bylaws.
- e** A statement describing in detail the programs and activities that the organization presently conducts or will conduct and how it will accomplish its specific purposes.
- f** A statement describing in detail each type or source of funding, each fund raising activity, and each business enterprise the organization has engaged in or plans to engage in either alone or with other parties (accompanied by copies of all agreements, if any, for the conduct of each fund raising activity or business enterprise).
- g** A statement that fully explains any discontinued specific activities that the organization engaged in or sponsored. Give dates of commencement and termination and the reasons for discontinuance. (Omit if this is a new organization.)
- h** A copy of each lease, if any, in which the organization is the lessee or lessor of property (real, personal, gas, oil, or mineral), or in which an interest is owned under such lease, together with copies of all agreements with other parties for development of the property.
- i** Samples of any literature that the organization sells or distributes and samples of any organizational advertising.

**Each item listed below refers to a separate California Revenue and Taxation Code (R&TC) Section. Provide the information for the section under which your organization claims exemption.**

**8 R&TC Section 23701a – Labor, agricultural, or horticultural organization:** Submit an explanation of any services to be performed for members. Cooperative organizations applying for exemption under R&TC Section 23701a must submit a copy of the federal exemption letter showing exemption under IRC Section 501(c)(5).

**9 R&TC Section 23701b – Fraternal beneficiary societies, etc.:**

- a** State whether the organization operates, or plans to operate, under the lodge system or for the exclusive benefit of the members of a lodge system. Operating under the lodge system means carrying on activities under a form of organization that comprises local branches (called lodges, chapters, or the like) that are largely self-governing and chartered by a parent organization.
- b** If the organization is a subordinate or local lodge, etc., attach a certificate signed by the secretary of the parent organization certifying that the subordinate lodge is a duly constituted body operating under the jurisdiction of the parent body.
- c** If the organization is a parent or grand lodge, attach a statement showing the number of subordinate lodges in active operation and whether periodic meetings are actually held.
- d** Attach a statement describing the types of benefits (life, sick, accident, or other benefits) paid, or to be paid, to members.

**10 R&TC Section 23701c – Cemetery company or corporation chartered solely for burial purposes:**

- a** Attach these statements and/or documents:
  - (1) Complete copy of the sales contract or other document involved in the organization's acquisition of cemetery property.
  - (2) Complete copy of any contract designating an agent to sell the cemetery plots.
  - (3) Name(s) of officer(s) and director(s) of your organization from the date of incorporation to the present date, and the period for which each held office.
  - (4) Appraised value of cemetery property as of the date acquired (the appraisal should be obtained from sources other than the parties in interest).
- b** Does the organization have or plan to have a perpetual care fund?  Yes  No  
If "Yes," furnish a copy of the federal exemption letter, a copy of the fund agreement, and a statement explaining the nature of such fund (cash, securities, unsold land, etc.). Also attach a statement that fully explains the manner in which the fund is or will be administered, the specific purposes for which the fund is to be used, and the name(s) of the person(s) administering the fund.
- c** Does the organization operate a crematorium?  Yes  No

**11 R&TC Section 23701d – Religious, charitable, scientific, literary, or educational organization:** Attach a statement explaining all "Yes" answers in item 11a through item 11d.

Yes	No
	✓
	✓
	✓
	✓

- a** Has the organization received, or does it expect to receive, 10% or more of its assets from any organization or group of affiliated organizations (affiliated through stockholding, common ownership, or otherwise), any individual, any member of a family group (brother or sister whether whole or half blood, spouse, ancestor, or lineal descendant)?
- b** Is the organization now, has it ever been, or does it plan to be engaged in carrying on propaganda, or otherwise advocating or opposing pending or proposed legislation (this includes dissemination of such information to the general public while representing the organization)?
- c** Has the organization participated in, or does it plan to participate or intervene in, any political campaign (including the publishing or distributing of statements) on behalf of, or in opposition to, any candidate for public office?
- d** Does the organization hold, or plan to hold, 10% or more of any class of stock or 10% or more of the total combined voting power of stock in any corporation?
- e** If claiming exemption as a church, **attach a statement including the information requested in item (1) through item (8) below:**
  - (1)** Has a permanent place of worship been established? At what address? Who is the legal owner of this property? Describe the physical characteristics of your church buildings. Explain to what extent these buildings are used for purposes other than religious worship.
  - (2)** Does the organization have a regular congregation or conduct religious services on a regular basis? How many usually attend the regular worship services? Attach samples of worship service programs and newspaper announcements of your activities. Where and how often are religious services held?
  - (3)** Furnish information regarding the religious background and formal religious training of the religious leaders. Furnish a copy of each religious leader's certificate of ordination.
  - (4)** What amount of the annual gross income will be received from incorporators, ministers, officers, directors, or their families?
  - (5)** What amount of the organization's proposed expenditures will be used for the personal living expenses of the individuals mentioned in item (4) above?
  - (6)** How many hours per week will the religious or spiritual leader(s) devote to organizational activities? Will this person(s) engage in employment unrelated to the activities of the organization? If so, indicate the number of hours per week and describe the employment activity.
  - (7)** List all the officers, directors, trustees, etc., of the organization and describe their qualifications for such office. Are any of the officers or directors related by blood or marriage? If "Yes," explain.
  - (8)** Will any founder, member, or officer:
    - (a)** Take a vow of poverty?
    - (b)** Transfer personal assets to this organization, like a home, automobile, furnishings, business, or recreational assets, etc., that will be made available for the personal use of the donor(s)?
    - (c)** Assign or donate to the organization income that will be used in part or whole to pay the donor(s) as salary, stipend, or living allowance (such as food, medical expenses, clothing, insurance, etc.)?

**12 R&TC Section 23701e – Business league, chamber of commerce, etc.:** Has the organization performed, or does it plan to perform, particular services for members, shareholders, or others, such as furnishing credit reports or collection accounts, inspecting products, conducting advertising, purchasing merchandise, or other similar undertakings?  Yes  No If "Yes," attach a detailed statement, including income realized and expenses incurred in such activities. If engaged in advertising, attach samples of material.

**13 R&TC Section 23701f – Civic leagues, social welfare organizations, and local associations of employees:**

- a** If you are applying as a civic league or social welfare organization, you must attach a statement explaining how you will promote the common good or welfare of an entire community.
- b** If you are applying as a local association of employees, attach a statement giving the names and addresses of employers that have employees who are eligible for membership in the association. If an employer has employees (who are eligible for membership) located in more than one plant or office, give the address of each plant or office.

**14 R&TC Section 23701g – Social and recreational organization:**

Yes	No

- a** Has the organization solicited, or does it plan to solicit, public patronage of the facilities by advertisement or otherwise? If "Yes," attach sample copies of such advertisements or other solicitations
- b** Are nonmembers, other than bona fide guests of members, permitted, or will they be permitted, to use the club facilities or participate in or attend any functions or activities conducted by the organization? If "Yes," attach a statement describing the functions or activities in which nonmembers have participated or will participate, or to which they have been or will be admitted. If nonmembers have participated in or have been admitted to any functions or activities, state the amount received from nonmembers. Provide a schedule in the statement detailing the expenses attributable to such nonmembers, the expenses attributable to such functions, and the disposition made of net profits, if any, derived from the functions
- c** Has the organization rented or leased, or does it plan to rent or lease, any part of the club's property to others? If "Yes," attach a statement indicating the reason for such action, or proposed action, and the amount received, or to be received. Also attach copies of the rental agreements or leases
- d** Has the organization derived or will it derive any income from nonmembers not explained above? If "Yes," explain in detail
- e** Furnish a statement separating the member and nonmember income for the past three years and a proposed budget separating member and nonmember income for the next period of operation.
- f** Enter the total number of club members: \_\_\_\_\_. If there are different classes of membership, attach a statement explaining the dues and privileges of each class.
- g** Provide copies of:
  - (1)** House rules;
  - (2)** All other documents used in considering or granting memberships, including agreements or contracts, if any; and
  - (3)** Copies of corporate resolutions demonstrating adoption of policy or change of policy regarding membership or use of facilities.

**15 R&TC Section 23701h – Title holding corporation:**

- a Attach a statement giving the complete names and addresses of organizations for which title to property is held, the number of shares of capital stock held and whether shares of stock have ever been held by persons other than such organizations. If stock was so held, include the years held and the total number of shares of each class of stock.

**Note:** R&TC Section 23701h requires turning over net income to a parent organization periodically. Organizations with members, incorporating as a nonprofit corporation under the California Corporations Code, are precluded from exempt status under R&TC Section 23701h. Section 5410 and Section 7411 of the California Corporations Code prohibit any distribution to members of nonprofit public benefit corporations or nonprofit mutual benefit corporations unless the organization dissolves.

Incorporated organizations seeking exemption under R&TC Section 23701h that have members must incorporate under the for profit provision of the California Corporations Code.

- b State whether the annual income (less expenses) is, or will be, turned over to the organization for which title to property is held. Explain what disposition will be made of income that will not be turned over to the organization.
- c Attach a copy of an exemption letter (federal or California) for each organization for which property will be held. If property will be held for organization(s) located in California, you must furnish a California exemption letter.

**16 R&TC Section 23701i – Voluntary employees’ beneficiary organization:** Furnish a copy of the federal determination letter showing exemption under IRC Section 501(c)(9).

**17 R&TC Section 23701l - Fraternal society, etc.:**

- a State whether the organization operates, or plans to operate, under the lodge system or for the exclusive benefit of the members of a lodge system. Operating under the lodge system means carrying on activities under a form of organization that comprises local branches (called lodges, chapters, or the like) that are largely self-governing and chartered by a parent organization.
- b If the organization is a subordinate or local lodge, etc., attach a certificate signed by the secretary of the parent organization certifying that the subordinate lodge is a duly constituted body operating under the jurisdiction of the parent body.
- c If the organization is a parent or grand lodge, attach a statement showing the number of subordinate lodges in active operation and whether periodic meetings are actually held.

**18 R&TC Section 23701n – Supplemental unemployment compensation trust:** Attach a copy of the supplemental unemployment benefit plan and pertinent agreements and a copy of the federal determination letter.

**19 R&TC Section 23701t – Homeowners’ association:**

- a Furnish a copy of the recorded Declaration of Covenants, Conditions, and Restrictions.
- b Will any of the individual units/lots owned by the organization or its members be occupied for other than personal residential purposes?  
 Yes  No If “Yes,” provide the following information:
  - (1) What percentage of the units/lots will be used for nonresidential purposes? \_\_\_\_\_
  - (2) If the organization claims exemption as a condominium management association, enter square footage of all units and square footage devoted to residential purposes. All units \_\_\_\_\_ Residential \_\_\_\_\_
  - (3) If the organization claims exemption as a residential real estate management association, enter the local real property zoning for lots within the association. Total number of lots \_\_\_\_\_ Number of lots zoned residential \_\_\_\_\_
  - (4) What percentage of the organization’s total gross income will be derived from dues, fees, or assessments from nonresidential members? \_\_\_\_\_
- c Will this organization own, maintain, or operate a mutual water company, well, electrical generating facility, or other utility?  Yes  No  
If “Yes,” describe in detail and answer these questions:
  - (1) Are the members/shareholders:  the actual users of the utility or  simply investors?
  - (2) Is this organization furnishing utilities to (check applicable box[es]):  residential homes,  commercial businesses (including agricultural enterprises)?  
If both, indicate what percent of this organization’s total income will be derived from sale of utilities for nonresidential usage \_\_\_\_\_
  - (3) How are members/shareholders assessed for utilities usage? Are they assessed equally or on the basis of square footage/acreage?
  - (4) Are meters utilized to determine charges to members/stockholders?  Yes  No  
If “Yes,” provide a detailed breakdown on how rates are determined and the amount of revenue received.
- d Will any of the units/lots be rented by a person, or series of persons, for periods of less than 30 days that, when added together, equal more than half of the association’s taxable year?  Yes  No If “Yes,” what percentage of the units/lots are rented in this manner? \_\_\_\_\_
- e What date was the first unit sold, or when will the first unit be available for sale? \_\_\_\_\_
- f What date did the association become active? \_\_\_\_\_ Provide details of these activities.  
\_\_\_\_\_  
\_\_\_\_\_

- g When were (will) dues first collected? month \_\_\_\_\_ day \_\_\_\_\_ year \_\_\_\_\_

**20 R&TC Section 23701u – Public facility financial corporation:**

- a Attach samples of all certificates of participation or other securities to be issued.
- b Attach copies of all leases, contracts, trust agreements, or other agreements that have been, or will be, entered into by this corporation.

**21 R&TC Section 23701v – Mobile home park acquisition association:**

- a Are all members of the organization owners of manufactured homes or mobile home tenants of the mobile home park?  Yes  No  
If “No,” explain the circumstances under which other individuals can become members of the organization.
- b Describe the mobile home park in which owner/tenant members reside.
- c Are all lots within the park rented or leased to mobile home or manufactured home owners?  Yes  No If “No,” explain.
- d Does the rent paid by each owner include rental for the lot occupied by the mobile home or manufactured home?  Yes  No If “No,” explain.
- e Will the organization carry on activities other than purchasing or preparing to purchase the mobile home park in which members reside?  Yes  No If “Yes,” describe in detail the other activities and indicate the percentage of total operations represented by such activities.

**22 R&TC Section 23701w – War Veterans’ organization:**

To be completed by a post or organization of past or present members of the Armed Forces of the United States.

- a What is the total membership of your post or organization? \_\_\_\_\_
- b How many of your members are present or former members of the Armed Forces of the United States? \_\_\_\_\_
- c How many members are cadets (include students in college, university, or armed services academies)? \_\_\_\_\_ How many are spouses, widows, or widowers of cadets, or of past or present members of the Armed Forces of the United States? \_\_\_\_\_
- d Do you have a membership category other than the ones set out above?  Yes  No If “Yes,” please explain in detail and enter the number of members in this category.

To be completed by an auxiliary unit or society of a post or organization of past or present members of the Armed Forces of the United States.

- e Are you affiliated with and organized according to the bylaws, and regulations formulated by such an exempt post or organization?  Yes  No
- f How many members do you have? \_\_\_\_\_
- g How many members are past or present members of the Armed Forces of the United States, or have spouses or persons related to them within two degrees of blood relationship (grandparents, brothers, sisters, and grandchildren are the most distant relationships allowable) that are past or present members of the Armed Forces of the United States (enter total)? \_\_\_\_\_
- h Are all of the members themselves members of a post or organization, past or present members of the Armed Forces of the United States, or spouses of members of such a post or organization, or related to members of such a post or organization within two degrees of blood relationship?  Yes  No If “No,” explain in detail.

**23 R&TC Section 23701x – Title holding organization:**

- a Attach a statement giving the complete names and addresses of organizations or trusts for which title to property is being held, and the number of shares of capital stock held by each entity.
- b State whether the annual income (less expenses) is, or will be, turned over to the organizations for which title to property is held. Explain what disposition will be made of the income that is not or, will not be, turned over to the organizations.
- c Furnish a copy of a federal determination letter for each organization or trust for which property is, or will be, held.
- d For those organizations of trust for which property is, or will be, held and which do not have a federal determination letter, provide detailed information to show that each shareholder is:
  - (1) A governmental plan described in IRC Section 414(d); or
  - (2) The United States, any state or political subdivision thereof, or any agency or instrumentality of the foregoing.
- e State the total number of stockholders or beneficiaries.
- f Describe in detail each class of stock or beneficial interest.

**Note:** R&TC Section 23701x requires turning over net income to specified parent organizations periodically. Organizations with members incorporating as a nonprofit corporation under the California Corporations Code are precluded from exempt status under that section. Section 5410 and Section 7411 of the California Corporations Code prohibit any distribution to members of nonprofit public benefit corporations or nonprofit mutual benefit corporations unless the organization dissolves.

Incorporated organizations seeking exemption under R&TC Section 23701x that have members must incorporate under the for profit provision of the California Corporations Code.

**24 R&TC Section 23701y – Credit Unions:**

- a Provide a copy of your license to operate a credit union.
- b What is the total number of members of your organization? \_\_\_\_\_

**25 R&TC Section 23701z – Self-Insurance pools for charitable organizations:**

- a Provide a list of names, California corporation numbers, and federal employer identification numbers (FEINs) for all participants in the pool.
- b Describe in detail the activities of each participating corporation.
- c Furnish a copy of the latest federal determination letter showing exemption under IRC Section 501 for each participating corporation.
- d Describe in detail all insurance services to be provided to members of the pool.

# Receipts and Expenses Statement

Complete information is required to adequately respond to Item 7c on Side 2. The statement below represents the basic financial details necessary to complete your request for exemption. You may substitute your own receipts and expenses statement, or statements, but the details **must** be complete as indicated in this statement. Failure to provide complete financial information may result in denial of the exemption application.

- For each year exempt status is requested, provide the financial information represented in the statement below.
- If you have had financial activities for less than one year, provide a financial statement for the period of activities, and a projected budget for the entire first year.
- If this is your first year of operation, and/or you have no prior financial activity, provide a proposed budget for the entire first year of operation. The proposed budget should be based on your most reasonable expectations.

## Calendar or Fiscal Year Ending

<b>RECEIPTS</b>	Current year	Three preceding years for each year in existence			<b>Total</b>
	<u>03-04</u>	<u>02-03</u>	<u>01-02</u>	<u>00-01</u>	
Gifts .....					
Grants .....					
Contributions received .....		90.00			
Fundraising .....					
Membership income .....	0	210.00	0	0	210.00
Nonmembership income (R&TC Section 23701g) .....					
Membership dues and assessments (R&TC Section 23701t) .....					
Other business income .....	0	70.50	52.50	0	123.00
Gross investment income .....					
Gross royalty income .....					
Gross rental income .....					
Gross receipts from admissions .....	5953.00	7708.00	3457.50	0	16961.31
Gross receipts from commissions .....	414.58	349.20	0	0	763.78
Gross receipts from sale of merchandise .....					
Gross receipts from services provided .....					
Gross receipts from furnishing of facilities .....					
Gain or loss from sale of capital assets .....					
Other income (attach sheet itemizing each type) .....	240.00	869.25	120.00	0	749.25
<b>TOTAL RECEIPTS</b>	<b>6607.58</b>	<b>9296.95</b>	<b>3630.00</b>	<b>0</b>	<b>19287.34</b>
<b>EXPENSES</b>					
Fundraising .....	0	0	0	0	0
Contributions, gifts, grants, and similar amounts paid .....	0	0	0	0	0
Disbursements to or for member benefit .....	0	0	0	0	0
Compensation of officers .....	0	0	0	0	0
Compensation of directors .....	0	0	0	0	0
Compensation of trustees .....	0	0	0	0	0
Rental expenses .....	0	0	0	0	0
Other salaries and wages .....	0	0	0	0	0
Occupancy (rents) .....	0	0	0	0	0
Other (including all operational and administrative expenses – attach sheet) .....	5546.17	10540.21	3387.75	250.00	19017.51
<b>TOTAL EXPENSES</b>	<b>5546.17</b>	<b>10540.21</b>	<b>3387.75</b>	<b>250.00</b>	<b>19017.51</b>
Excess of receipts over expenses .....	1061.41	(1243.26)	242.25	(250.00)	269.83

**RESPONSE TO Line 6(b): MEMBERSHIP**

San Diego Speculative Fiction Society, Inc. is a membership corporation, with a single class of membership. The number of members is unlimited subject to the membership criteria below.

Corporate membership is open to persons who have volunteered for, or helped to organize, at least two corporate programs over the past three years. In general, such volunteer opportunities exist for any member of the public who is interested in furthering the educational mission of the corporation.

A corporate member may participate in the governance of the corporation as described in our Bylaws, but otherwise enjoys no special privileges.

Our corporate membership recruitment is primarily achieved through the operation of our annual conference. Volunteers became eligible for membership with the conclusion of our October, 2003 conference, and we are still in the process of admitting that first group of members. We expect this process to be complete by October 2004.

**RESPONSE TO Line 6(d): SHARING OF FACILITIES**

The principal offices of the Corporation are maintained at the home of two of the corporation's Directors: John Kusters and Michael Thomas. Use of this property is provided without charge of any kind.

**RESPONSE TO Line 7(a): ORGANIZING DOCUMENT**

An endorsed copy of our Articles of Incorporation is included as Exhibit "B".

**RESPONSE TO Line 7(b): BYLAWS**

A copy of our Bylaws, certified by our Secretary, is included as Exhibit "C".

**RESPONSE TO Line 7(c): FINANCIAL DOCUMENTATION**

We request retroactive exempt status, starting from the date of our incorporation, 12/27/2000. A complete set of financial statements, starting with FY 2000-01 and continuing to the present, is included as Pages 4-8 of Exhibit "A".

**RESPONSE TO Line 7(d): SPECIFIC PURPOSES**

San Diego Speculative Fiction Society, Inc. strives to increase public awareness and discussion regarding "Speculative Fiction" arts: science fiction, fantasy, horror, dystopia, and utopia.

These narrative forms have become an important part of the popular media, but the serious themes and issues explored by the genre do not often receive the attention they deserve. We seek to educate the public and promote discussion regarding those themes and ideas, as they evidence themselves in speculative fiction literature as well as in movies, television, and other media forms.

**RESPONSE TO Line 7(e): PROGRAMS AND ACTIVITIES**

San Diego Speculative Fiction Society, Inc. promotes public discourse about speculative fiction principally through the operation of an annual literary conference. Planned future activities include a community lecture/discussion series and a youth speculative-fiction writing contest.

Our annual conference "Conjecture" gathers together members of the public for a weekend of lectures and discussions pertaining to all aspects of speculative fiction. We intend this meeting to take place in the fall of each year; our first took place Oct 18-20, 2002, last year's Oct 3-5, 2003, and this year's meeting is scheduled for Oct. 1-3, 2004.

*(Line 7(e) response continued on next page.)*



**RESPONSE TO Line 7(e):**

**PROGRAMS AND ACTIVITIES (Continued)**

At each of our past conferences, approximately 350 attendees -- both as speakers and as audience members -- participated in 100+ program items. Sessions covered a wide variety of topics including these examples:

Theories of History - Alternative Timelines: Various theories have been advanced in attempts to explain history; the "Great Man" hypothesis and the "Tides of History" are just two. How could the various theories of history influence potential alternate histories?

Cold Storage and Civilization: We all have time travel, at the rate of one minute per minute, forward only. But stasis technologies such as cryogenics can fast-forward one's perception of time. Discuss what such technologies could do to culture. Examine Vernor Vinge's *bobbles*, Orson Scott Card's *somec*, and other examples from SF literature.

Using SF as an Educational Tool: SF traditionally receives a very cool reception in the classroom. How can SF be effectively used in schools to increase literacy and learning?

Outsider Protagonists: Race, Gender, Species: It can be difficult for a mainstream reader to identify with characters that are different in terms of race, gender, or biology. The panelists will discuss why this is a difficult problem, and provide examples of successful portrayals of "other".

These hour-long lecture/discussion sessions form the backbone of each conference program, and directly further our goal of promoting public discussion about speculative fiction. Other related program items include an art exhibition, writing workshops, and genre-related folk music performances.

Starting in late 2004, we plan to expand our activities to include a regular discussion or lecture session on topics such as the panels described above. These events will be free and open to the general public, and will be advertised at local libraries and through the local media.

Finally, in the future we plan to reach schoolchildren in the San Diego area through sponsorship of a youth speculative-fiction writing contest. This activity will be led by volunteers drawn from our pool of members, who will coordinate with local area teachers. Our contest will be modeled after similar successful programs in the Baltimore and Salt Lake City regions.

At present, the operation of our annual conference consumes 100% of our time and resources. Once our future programs are underway, we expect the conference to consume 85% of our time and resources, and the other two programs each to consume 7.5%.

**RESPONSE TO Line 7(f):**

**SOURCES OF FUNDING**

Our principal source of financial support is the gross registration receipts from our annual conference. We currently collect a \$45 registration fee from each person attending the conference, with various discounts given to encourage early registration.

Incidental sources, amounting to less than 10% of our income, will include advertising in our conference's program guide, commissions and fees related to art exhibition consignment sales, and donations.

Our membership dues are \$36 per year. Our long-term goal is to admit 50-75 members to the corporation. We do not expect these dues to be a significant portion of our income.

At present, our fundraising program consists of encouraging wider participation in our annual conference. In the future, we plan to solicit donations to support our youth speculative-fiction writing contest.

**RESPONSE TO Line 7(g):**

**DISCONTINUED ACTIVITIES**

We have not discontinued any activities as of the date of this filing.

San Diego Speculative Fiction Society, Inc.  
PO Box 927388  
San Diego, CA 92192-7388

**EIN 33-0940786**  
**CA Corp #2280002**

Attachment to Form 3500  
Responses to Lines 6 and 7  
*Exhibit "A", Page 3 of 8*

**RESPONSE TO Line 7(h):                      LEASES**

We have not entered into any leases as of the date of this filing.

**RESPONSE TO Line 7(g):                      LITERATURE**

Our principal publication is the program guide distributed to attendees of our annual conferences. We have included a copy of our most recent conference's program guide as Exhibit "D".

Also included are samples of our advertising, Exhibits "E" and "F", which were distributed at libraries, bookstores, schools, and events around San Diego, as well as at other speculative-fiction conferences around California.



**FINANCIAL STATEMENT, SAN DIEGO SPECULATIVE FICTION SOCIETY, INC.**  
**FY 2001-2002 (Oct 1st 2001 - Sep 30th 2002)**

**STATEMENT OF REVENUE AND EXPENSES**

Revenue

-----  
\$ 52.50 OTHER BUS. Sales of ads in Oct '02 conf. publications  
\$ 3457.50 ADMISSION Attendee registration fees, Oct '02 conf.  
\$ 120.00 OTHER INC. Art exhibit, artist fees, Oct '02 conf.  
-----  
\$ 3630.00 TOTAL REVENUE FY 2001-2002

Expenses

-----  
\$ 2500.00 RENTAL Meeting room deposit, Oct '02 conf.  
\$ 210.00 SPEAKER Invited speaker travel, Oct '02 conf.  
\$ 175.48 PUBLICITY Publicity/communications, Oct '02 conf.  
\$ 469.33 PROGRAM Misc. related expenses, Oct '02 conf.  
\$ 32.94 BANK FEES Bank fees/charges  
-----  
\$ 3387.75 TOTAL EXPENSE FY 2001-2002

**BALANCE SHEET AS OF SEP 30TH 2002:**

**Assets:**

Cash: 2992.25  
Equipment: 0.00  
Fixtures: 0.00  
Accts. Receivable: 0.00  
  
Total Assets: 2992.25

**Liabilities:**

Accounts Payable: 0.00  
Loans Outstanding: 3000.00  
  
Total Liabilities: 3000.00

**Net Worth:**

Assets - Liabilities: (7.75)

**Total Liabilities and Net Worth:**

Liabilities + Net Worth: 2992.25

**LOANS OUTSTANDING AS OF SEP 30TH 2002:**

1. \$1000.00 Interest-Free Loan; repay Adam Tilghman by 9/14/2005
2. \$1000.00 Interest-Free Loan; repay John Kusters by 1/15/2006
3. \$1000.00 Interest-Free Loan; repay Michael Thomas by 1/15/2006

**FINANCIAL STATEMENT, SAN DIEGO SPECULATIVE FICTION SOCIETY, INC.**  
**FY 2002-2003 (Oct 1st 2002 - Sep 30th 2003)**

**STATEMENT OF REVENUE AND EXPENSES**

Revenue

-----  
\$ 12.50 OTHER BUS. Sales of ads in Oct '02 conf. publications  
\$ 3903.00 ADMISSION Attendee registration fees, Oct '02 conf.  
\$ 389.25 OTHER INC. Art exhibit, artist fees, Oct '02 conf.  
\$ 349.20 COMMISSION Commission fee-sold artwork, Oct '02 conf.  
\$ 90.00 DONATION General donation, received at Oct '02 conf.  
  
\$ 58.00 OTHER BUS. Sales of ads in Oct '03 conf. publications  
\$ 3805.00 ADMISSION Attendee registration fees, Oct '03 conf.  
\$ 480.00 OTHER INC. Art exhibit, artist fees, Oct '03 conf.  
  
\$ 210.00 MEMBERSHIP July 2003 Director assessment  
-----  
\$ 9296.95 TOTAL REVENUE FY 2002-2003

Expenses

-----  
\$ 3571.34 RENTAL Meeting room rental, Oct '02 conf.  
\$ 676.48 SPEAKER Invited speaker lodging, Oct '02 conf.  
\$ 1219.45 PROGRAM Program expenses, Oct '02 conf.  
\$ 680.00 INSURANCE Event insurance, Oct '02 conf.  
\$ 463.34 LOSS Repair property damage  
\$ 31.20 MISC Misc. expenses, Oct '02 conf.  
  
\$ 2250.00 RENTAL Meeting room deposit, Oct '03 conf.  
\$ 243.30 PUBLICITY Publicity/communications, Oct '03 conf.  
\$ 862.04 PROGRAM Misc. related expenses, Oct '03 conf.  
\$ 58.50 ADMISSION Refunds of registration fees, Oct '03 conf.  
  
\$ 416.56 BANK FEES Bank fees/charges  
\$ 48.00 PO BOX Post Office Box Rental  
\$ 20.00 CORP FEE Calif. officers report form fee  
-----  
\$10540.21 TOTAL EXPENSE FY 2002-2003

San Diego Speculative Fiction Society, Inc.  
PO Box 927388  
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Attachment to Form 3500  
Responses to Lines 6 and 7  
*Exhibit "A", Page 7 of 8*

**FINANCIAL STATEMENT, SAN DIEGO SPECULATIVE FICTION SOCIETY, INC.**  
FY 2002-2003 (Oct 1st 2002 - Sep 30th 2003) (Continued)

**BALANCE SHEET AS OF SEP 30TH 2003:**

**Assets:**

Cash:	1748.21
Equipment:	0.00
Fixtures:	0.00
Accts. Receivable:	0.00
Total Assets:	1748.21

**Liabilities:**

Accounts Payable:	0.00
Loans Outstanding:	3000.00
Total Liabilities:	3000.00

**Net Worth:**

Assets - Liabilities:	(1251.79)
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**Total Liabilities and Net Worth:**

Liabilities + Net Worth:	1748.21
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**LOANS OUTSTANDING AS OF SEP 30TH 2003:**

1. \$1000.00 Interest-Free Loan; repay Adam Tilghman by 9/14/2005
2. \$1000.00 Interest-Free Loan; repay John Kusters by 1/15/2006
3. \$1000.00 Interest-Free Loan; repay Michael Thomas by 1/15/2006

**FINANCIAL STATEMENT, SAN DIEGO SPECULATIVE FICTION SOCIETY, INC.**  
**FY 2003-2004 (Oct 1st 2003 - Sep 30th 2004)**

Current Year, as of March 7th, 2004.

**STATEMENT OF REVENUE AND EXPENSES:**

Revenue

-----

\$ 4708.00	ADMISSION	Attendee registration fees, Oct '03 conf.
\$ 240.00	OTHER INC.	Art exhibition, artist fees, Oct '03 conf.
\$ 414.58	COMMISSION	Commission fee-sold artwork, Oct '03 conf.

\$ 1245.00	ADMISSION	Attendee registration fees, Oct '04 conf.
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\$ 6607.58 TOTAL REVENUE FY 2003-2004

Expenses

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\$ 750.72	RENTAL	Meeting room rental, Oct '03 conf.
\$ 1404.12	SPEAKER	Invited speaker trav/l dg, Oct '03 conf.
\$ 1757.05	PROGRAM	Misc. related expenses, Oct '03 conf.
\$ 290.37	PUBLICITY	Publicity/communications, Oct '03 conf.
\$ 891.32	INSURANCE	Event insurance, Oct '03 conf.
\$ 80.00	ADMISSION	Refunds of registration fees, Oct '03 conf.
\$ 89.35	LOSS	Cash shortage, Oct '03 conf.

\$ 283.24	BANK FEES	Bank fees/charges
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\$ 5546.17 TOTAL EXPENSE FY 2003-2004

**BALANCE SHEET:**

**Assets:**

Cash:	2809.62
Equipment:	0.00
Fixtures:	0.00
Accts. Receivable:	0.00

Total Assets:	2809.62
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**Liabilities:**

Accounts Payable:	0.00
Loans Outstanding:	3000.00

Total Liabilities:	3000.00
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**Net Worth:**

Assets - Liabilities:	(190.38)
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**Total Liabilities and Net Worth:**

Liabilities + Net Worth:	2809.62
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**LOANS OUTSTANDING AS OF MAR 5TH 2004:**

1. \$1000.00 Interest-Free Loan; repay Adam Tilghman by 9/14/2005
2. \$1000.00 Interest-Free Loan; repay John Kusters by 1/15/2006
3. \$1000.00 Interest-Free Loan; repay Michael Thomas by 1/15/2006